



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant(s) : Vishnu K. Agarwal  
Serial No. : 10/633,165  
Filed : August 1, 2003  
Title : SELF-ALIGNED POLY-METAL STRUCTURES  
Docket : MIO 0086 NA/40509.256  
Art Unit : N/A

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on November 3, 2003.

  
James E. Beyer Reg. No. 39,564

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicant submits herewith patents, publications, and other information of which he is aware, which he believes may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

Serial No. 10/633,165  
Docket MIO 0086 NA/40509.256

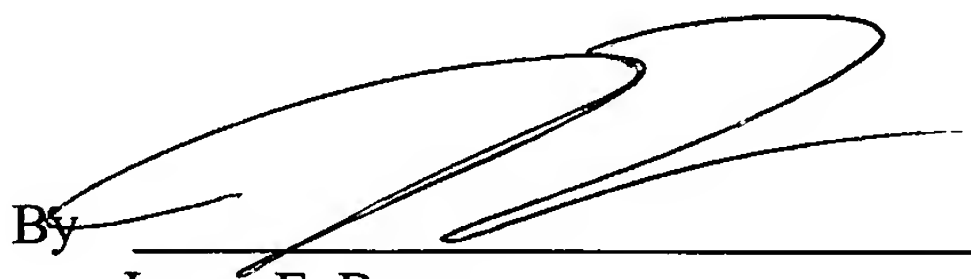
This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is believed to be filed before the mailing date of a first office action on the merits.

The above application is a continuation of U.S. Application Serial No. 09/971,250 filed October 4, 2001. Pursuant to §1.98(d), copies of those items cited by or submitted to the Office in the prior application are not being submitted.

The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

Respectfully submitted,

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/633,165
Filing Date	August 1, 2003
First Named Inventor	Vishnu K. Agarwal
Art Unit	
Examiner Name	
Attorney Docket Number	MIO 0086 NA/40509.256

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,368,686	11/29/1994	Tatsumi et al.	
		US- 5,597,756	01/28/1997	Fazan et al.	
		US- 5,776,823	07/07/1998	Agnello et al.	
		US- 5,891,784	04/23/1999	Cheung et al.	
		US- 5,940,315	08/17/1999	Cowles	
		US- 5,989,959	11/23/1999	Araki	
		US- 6,043,562	03/28/2000	Keeth	
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		US- 6,072,223	06/06/2000	Noble	
		US- 6,075,274	06/13/2000	Wu et al.	
		US- 6,090,660	07/18/2000	Noble, Jr.	
		US- 6,097,049	08/01/2000	Goebel et al.	
		US- 6,107,145	08/22/2000	Dennison et al.	
		US- 6,121,125	09/19/2000	Lee	
		US- 6,165,883	12/26/2000	Hiura	
		US- 6,232,209	05/15/2001	Fujiwara et al.	
		US- 6,245,605	06/12/2001	Hwang et al.	
		US- 6,268,622	07/31/2001	Shone et al.	
		US- 6,320,246	11/20/2001	Gilton	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP361208850A	09/1986	Kotani		
		JP363156341A	06/1988	Hoshino		
		JP363114236A	05/1988	Kurita et al.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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